

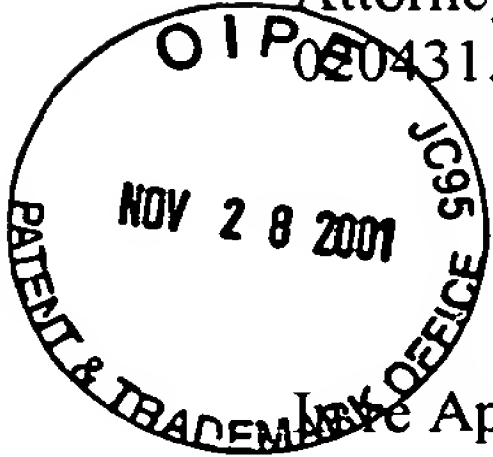
11-30-01

2161

Attorney's Docket:

020431.0912

PATENT  
09/970,052



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Manoel (nmi) Tenorio

Serial No.

09/970,052

Filing Date:

October 3, 2001

Art Group Unit:

Title:

DISPLAYING MARKET DATA

RECEIVED  
DEC 04 2001  
Technology Center 2100

Honorable Assistant Commissioner  
for Patents  
P. O. Box 2327  
Arlington, VA 22202

CERTIFICATE OF MAILING BY EXPRESS	
MAIL - No. EL 759192715US	
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37.C.F.R. 1.10 on the date shown below, and addressed to Assistant Commissioner for Patents, P. O. Box 2327, Arlington, VA 22202.	
Name:	<u>Willie Jiles</u>
	Willie Jiles
Date:	<u>11-28-01</u>

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present application, or that these references qualify as prior art.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants

Christopher W. Kennerly  
Reg. No. 40,675

2001 Ross Avenue  
Dallas, Texas 75201-2980  
Tel. 214.953.6812; Fax. 214.661.4812  
chris.kennerly@bakerbotts.com

Date:

11/28/01

PTO-1449		Application N 09/970,052		Applicant(s) Manoel (nmi) Tenorio		
Information Disclosure Citation in an Application		Docket Number 020431.0912		Group Art Unit	Filing Date 10/03/01	
U.S. PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE	
	09/970,052	10/03/01	Facilitating Order Entry Patent Application Manoel (nmi) Tenorio, Inventor Attorney Docket # 020431.0926		10/03/01	
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
NON-PATENT DOCUMENTS						
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)				DATE	
A	@The Moment: The Platform for Real-Time Trading; "How Quickly Can You Respond to Your Markets?" pp.1				2001	
B	@The Moment Solutions; "Dynamic Trading for a Dynamic World" pp 1				2001	
C	Trade@The Moment: The Platform for Real-Time Trading; pp 1-2				2001	
D	@The Moment Real-Time Trading Applications; pp 1-2				2001	
E	@The Moment Professional Services; pp 1				2001	
F	@The Moment Trade @The Moment FAQ; "Frequently Asked Questions (FAQ) About Dynamic Trading" pp 1-9				2001	
G	Trade@The Moment Demos; pp 1				2001	
H	@The Moment Screen Shots; pp 1-3				2001	
J	Market Operator Navigation; pp 1				2001	
K	Market Type Selection Page; pp 1-2				2001	
L	Market Access Control Selection Page; pp 1-2				2001	
M	Market Report; "marketplace@themoment;" pp 1				2001	
N	Bid/Ask Pricing Page, pp 1-4				2001	
O	Auction Page; pp 1-4				2001	
P	Bid/Ask Page/Pitometer; pp 1-4				2001	
Q	Bid/Ask Page/Order Book; pp 1-4				2001	
R	@TheMoment Technology; pp1-2				2001	
S	@TheMoment Papers; pp 1				2001	

RECEIVED  
DEC 04 2001  
Technology Center 2100

T	@TheMoment Reliant Energy Customer Story, "Reliant Energy Turns to @TheMoment to Provide First ERCOT Auction for Electricity Generation Capacity;" pp 1-2	
U	@TheMoment White Papers; pp 1	2001
V	@TheMoment White Paper Series; "Leveraging Web-Based Dynamic Trading for Gas Pipeline Capacity Sales;" pp 1-8	7/2001
W	@TheMoment White Paper Series; "Building Competitive Advantage in Turbulent Markets Through Web-Based Dynamic Trading Technologies;" pp 1-12	8/2001
X	@TheMoment White Paper Series; "Reducing Inventory Risks in High-Tech Component Manufacturing with Forward Contracts;" pp1-12	8/2001
Y	@TheMoment White Paper Series; "The Role off Web-Based Dynamic Trading in Restructured Electric and Gas Markets;" pp 1-14	10/2001
Z	Trade@TheMoment: LDC Data Sheet; "The Complete Trading Solution for LDCs;" pp 1-4	10/2001
AA	Trade@TheMoment:Power Data Sheet; "The Complete Trading Solution for Electric Utilities;" pp 1-2	11/2001

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. Patent and Trademark Office